

# 3 MANNUAL REGULATORY COMPLIANCE

**CONFERENCE & TRADESHOW** 



### **TIME AND DATE**

TUESDAY - APRIL 25, 2023 08:00AM - 5:00PM

#### **PROGRAM**

Sleepy Hollow Hotel & Conference Center Tarrytown, New York





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# **CONFERENCE PROGRAM**

\*8:00am - 9:00am | Breakfast with the Exhibitors

### \*9:00am - 9:20am | Opening Remarks & Association Updates



Chris Caggiano NYAMB President



Mark Favaloro NYAMB Immediate Past President & Chairman

\*9:25am - 10:00am | Wholesale Lender Roundtable Discussion

Moderator: Mark Favaloro, Immediate Past-President & Chairman of the Board



Allen Beydoun EVP of Sales United Wholesale Mortgage



Mark Melini Regional VP of Sales Wholesale Channel Plaza Home Mortgage



Arthur P. Saitta
Business Development
Officer Ridgewood
Savings Bank



Scott Houp EVP - National Sales Pennymac TP



**Steve Bavaro**EVP Account Executive
Windsor Mortgage

### **CONFERENCE PROGRAM**

10:05am - 11:05am | Whistle Blower & Sexual Harassment Certificate Training Class



Michael G. Barone Managing Partner, Abrams Garfinkel Margolis Bergson LLC Mortgage Compliance Practice

Moderator: Robert Russo, Past President & VP

### \*11:15am - Noon | AML Training Certificate Class



**Anthony Nozzolillo**Chief Counsel Keen Settlement Services, Inc.

Moderator: Robert Russo, Past President & VP

Noon - 1:00pm | Lunch with the Exhibitors

### 1:00pm - 1:15pm | Exit Realty NY Metro Presentation



**Hector Castillo**CEO & Regional Owner Exit Realty NY Metro

Moderator: Mark Favaloro, Immediate Past President & Chairman of the Board

### 1:20pm - 1:35pm | eXp Commerical Presentation



James Huang President eXp Commerical

Moderator: Mark Favaloro, Immediate Past President & Chairman of the Board

# **CONFERENCE PROGRAM**

1:40pm - 2:00pm | UWM Economic Forecast



Allen Beydoun
Executive VP of Sales
United Wholesale Mortgage

Moderator: Chris Caggiano, NYAMB President

2:05pm - 3:15pm | NYSDFS Updates



Rholda Ricketts
Deputy Superintendent, Mortgage Banking Division
New York State Department of Financial Services

**Moderator:** Mark Favaloro, NYAMB Immediate President-President & Chairman of the Board

3:15pm-3:30pm | 15 Minute Break

3:35pm-4:30pm | Cybersecurity Training Certificate Class



**Al Alper** President & CEO of Absolute Logic Inc.

Moderator: Chris Caggiano, NYAMB President

4:30pm-5:00pm | Raffle Drawing at the NYAMB table in the Exhibit Hall

Raffle Drawing in the Exhibit Hall. MUST be present to win!



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\*eMortgages are ineligible, and Lost Note Affidavits (LNAs) are not allowed for prior or current Notes. Not available for Optima Jumbo.

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### **EXHIBITING COMPANIES**

Absolute Logic #6

Appraisal Link #4

Bayport Funding #19

Black Night #14

Emigrant Mortgage #11

Exit Realty NYMetro #8

eXp Realty #17

Fastapp AMC #18

Goosehead Insurance#15

Insula Capital #12

Law Office of Robert Reda, P.C. #2

Luxury Mortgage Corp. #20

Norman, Hubbard and Associates, Inc. #13

Nubridge Commercial #16

Pennymac TPO #3

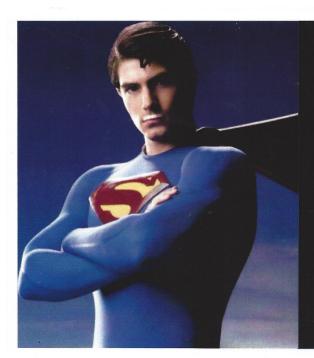
Plaza Home Mortgage #7

Ram Abstract, Ltd. #10

Ridgewood Savings Bank #9

**UWM #1** 

Windsor Mortgage #5



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Joseph Noviello (718) 240-4780 jnoviello@ridgewoodbank.com NMLS ID# 625762



Bijan Farassat (917) 731-4870 bfarassat@ridgewoodbank.com NMLS ID# 646654

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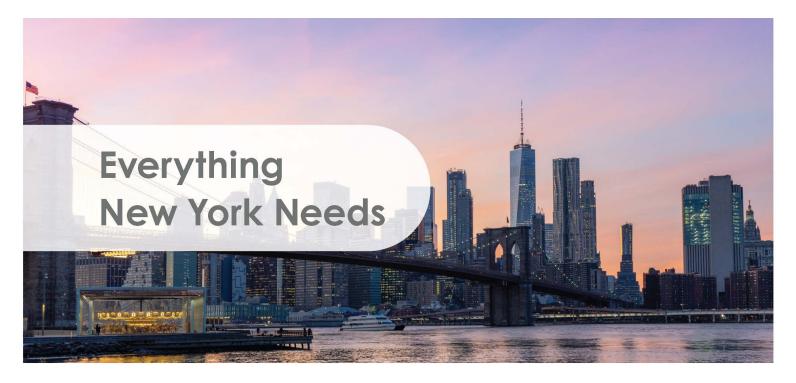






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Visit Plaza's exhibit booth at NYAMB's Regulatory and Compliance Conference, so please come by and say hello, and find out what's new at Plaza! Contact your Plaza Account Executive for more information or email us at hereforyou@plazahomemortgage.com. Not an approved mortgage broker with Plaza? Contact our Regional Vice President, Mark Melini at mark.melini@plazahomemortgage.com or go to www.plazahomemortgage.com and click on the Wholesale Tab to become an approved broker today!



